



Registration as a recognised intermediary for the purposes of UK Stamp Duty Reserve Tax (SDRT) exemption

To: Chi-X Europe Limited, Quay Level, Commodity Quay, East Smithfield, London, E1W 1AZ

From: Chi-X Europe Ltd Participant:

Name:
Company:
Address:
CREST participant code:

We hereby apply, in accordance with sections 80A and 88A of the UK Finance Act 1986, for recognition as an Intermediary and confirm that we:

- (i) carry on a bona fide business of dealing in shares; and
- (ii) do not carry on an “excluded business” as defined within the Finance Act 1986

Non-UK based Chi-X Europe Ltd Participants

Address in the UK where HM Revenue & Customs may inspect your records:

Name:
Company:
Address:

If no address is completed above, the Chi-X Europe Participant Ltd does not have an address in the UK; records may be inspected at the UK office of the appointed clearing agent, with their agreement.

Signature _____

Name (PRINT) _____

Date _____